



Red Oak IN THE NEWS

RED OAK COMPLIANCE SOLUTIONS MAKES REGTECH 100

We're pleased to announce that we've made the RegTech100, an annual list of 100 of the world's most innovative RegTech companies. The third annual list recognizes the tech companies transforming compliance, risk management, and cybersecurity. As one of the most important sectors within financial technology, RegTech (regulatory technology) continues to see massive growth in recent years, with over \$14bn invested in RegTech companies since 2016.

A panel of analysts and industry experts chose the final 100 from a list of over 1,000 companies on the RegTech Analyst database. Red Oak's founders underwent in-depth interviews to discuss a range of factors considered as part of the criteria for selection. Factors considered included the potential cost savings, efficiency improvement, impact on the value chain, and/or revenue enhancements generated for clients.

"We are honored to be recognized for our work as a global leader in compliance advertising review software. This is further validation of our commitment to continuous innovation to help financial services firms get their marketing messages to market faster and compliantly. With Red Oak, firms can minimize risk, reduce costs, and increase efficiencies without sacrificing compliance."

- Cathy Vasilev, Chief Operating Officer, Founding Member

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great way to finish out the year and give momentum for 2020. Of course, none of this would be possible without the trust and investment of our customers. We will continue our commitment to a user-first mindset and delivering the best advertising software for compliance and marketing professionals globally.

ABOUT RED OAK COMPLIANCE SOLUTIONS

Red Oak Compliance Solutions is the advertising review software of choice in the financial services industry, serving clients with more than \$19 trillion in assets under management. Red Oak's advertising compliance review software offers quick implementation timelines, as well as agile technology that responds to client needs and is 100% Books and Records compliant. Clients of Red Oak typically see at least 35% faster approvals, and 70% fewer touches or better. To learn more about Red Oak Compliance Solutions, visit redoakcompliance.com.

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